10b5-1 Trading Plan Example
Insider Information
- Name
- Position/Title
- Company
Trading Parameters
- Type of Trade (Buy/Sell)
- Number of Shares
- Price Limit
- Timing (Date/Price Trigger)
- Execution Mechanism (e.g., Limit Order)
Duration of the Plan
- Start Date
- End Date (if applicable)
Confidentiality and Compliance
- Confidentiality Requirements
- Compliance with Insider Trading Regulations
Monitoring and Review
- Compliance Team/Contact
- Review Frequency
- Modifications/Adjustments